

# Smart \$aver Business Lighting Application

## North Carolina / South Carolina



Skip the form and complete your application online at <https://dukeenergyefficiency.secure.force.com/onlineportal/>  
 Questions? Call 866.380.9580 or visit [duke-energy.com/SaveMoney](http://duke-energy.com/SaveMoney).

Prequalification     New Application     Existing Application #:

### 1. Contact Information

Duke Energy Customer			
Customer Company Name <sup>1</sup>		Contact Name	<input type="checkbox"/> Customer's Agent <sup>2</sup>
Office Phone		Mobile Phone	
Email Address			
Duke Energy Account Number(s) for Installation Address <sup>3</sup>			
Installation Street Address			
City	State	ZIP Code	

Equipment Vendor / Contractor / Architect / Engineer			
Company Name		Contact Name	
Office Phone		Mobile Phone	
Street Address			
City	State	ZIP Code	
Email Address			

If Duke Energy has questions about this application, who should we contact?  Customer  Vendor<sup>4</sup>

### 2. Payment Information

Payment Information			
Who should receive rebate/incentive payment <sup>5</sup> ?	<input type="checkbox"/> Customer	<input type="checkbox"/> Vendor (customer must sign authorization on page 20)	
Payment Mailing Address			
City	State	ZIP Code	
Provide Tax ID number and W-9 (v2014 or later) for payee	Payee Tax ID No.		

### 3. Continue to the Section Applicable to your Equipment

<input type="checkbox"/> <b>Pages 2 – 16:</b> Prescriptive Rebates Indicate if application is for: <input type="checkbox"/> Request for pre-qualification (optional), or <input type="checkbox"/> Application for Rebate Payment	<input type="checkbox"/> <b>Pages 17 – 19:</b> Important Terms & Conditions Customer and Vendor signatures required
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<sup>1</sup> Customer information should match the Duke Energy customer of record and W-9 form provided with this application. If the customer entity is a business affiliate of the Duke Energy customer of record, documentation must be provided that demonstrates the business affiliation.  
<sup>2</sup> If an outside agent is acting on behalf of the Duke Energy customer of record, a letter of authorization on customer letterhead and signed by an authorized employee of the customer is required.  
<sup>3</sup> For multiple accounts/locations, attach a list detailing accounts, installation addresses and equipment.  
<sup>4</sup> If the vendor is the primary point of contact, the customer will still be copied on all application correspondence. If the customer does not wish to be copied, the customer must provide a signed letter of authorization on customer letterhead indicating an entity is acting as an agent for the customer. Duke Energy does not act as an agent.  
<sup>5</sup> If payment is to be made to an entity other than the Duke Energy customer of record or the vendor, a payment waiver is required and will be provided for customer signature.

**Unless otherwise noted, Prescriptive rebates and incentives are capped at 75% of the customer's equipment cost.**

## Smart \$aver Prescriptive Rebates

Optional pre-qualification is available to confirm account and equipment eligibility for Prescriptive rebates. (Custom incentives require pre-approval in all cases.)

Submit and track your application online at <https://dukeenergyefficiency.secure.force.com/onlineportal/>

- Or email the completed, signed application with all required documents to [PrescriptiveIncentives@duke-energy.com](mailto:PrescriptiveIncentives@duke-energy.com) or fax to 866.908.4921.
- If you do not receive an acknowledgment email within one business day of submitting an application via online, email, or fax, please call 866.380.9580.

Complete all requested information. Check each box to indicate completion of the following program requirements:

- All applicable sections of application
- Invoice with make, model number, quantity and equipment manufacturer<sup>6</sup>
- Tax ID number for payee
- W-9 for payee (2014 W-9 version or later is required)
- Customer/vendor agrees to terms and conditions

Installation Address Building Type – (check one)						
<input type="checkbox"/> Data Centers	<input type="checkbox"/> Elder Care/ Nursing Home	<input type="checkbox"/> Full Service Restaurant	<input type="checkbox"/> Lodging	<input type="checkbox"/> Public Order/ Safety	<input type="checkbox"/> Retail (big box)	<input type="checkbox"/> Warehouse
<input type="checkbox"/> Education/ K-12	<input type="checkbox"/> Fast Food Restaurant	<input type="checkbox"/> Health Care	<input type="checkbox"/> Office	<input type="checkbox"/> Religious Worship/ Church	<input type="checkbox"/> Retail (banking)	<input type="checkbox"/> Water/ Wastewater Facility
<input type="checkbox"/> Education Other	<input type="checkbox"/> Food Sales/ Grocery	<input type="checkbox"/> Industrial	<input type="checkbox"/> Public Assembly	<input type="checkbox"/> Retail (small box)	<input type="checkbox"/> Service	<input type="checkbox"/> Other

**Please refer to our Terms and Conditions on pages 17 – 19.**

**In addition, the following eligibility requirements apply to all measures listed in this application:**

- Replacement must result in energy savings to qualify.
- All equipment must be new to be eligible for rebates.
- Equipment required to meet applicable energy codes are not eligible.
- Measures that refer to a qualified products list such as Consortium for Energy Efficiency (CEE), ENERGY STAR<sup>®</sup> or Design Lights Consortium (DLC) must appear on the applicable list at the time of equipment purchase and within 90 days of submitting the rebate application. The DLC or ENERGY STAR<sup>®</sup> logo on the specification sheet does not guarantee approval.
- Measures that do not refer to a qualified products list, such as CEE, ENERGY STAR or DLC require a specification sheet to be submitted with the rebate application, or on file with the Smart \$aver business program, for the exact model of equipment for which the rebate is being applied.
- Equipment must be served by Duke Energy electric service and installed in customer's facility.
- All lighting measures require minimum of 1,800 annual operating hours.
- Lighting measures are one-for-one retrofit, unless delamping is included or otherwise noted. Seek Custom incentive pre-approval for retrofits that are not one-for-one.
- Interior lighting measures must be located in a permanently enclosed, interior space and operate during normal daytime work hours.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.

<sup>6</sup> Invoices should show the Duke Energy incentive credit to the customer as a line item when the incentive is to be paid to a Vendor. Applications for pre-qualification submit proposal/estimate instead of invoice.

**Unless otherwise noted, Prescriptive rebates and incentives are capped at 75% of the customer's equipment cost.**

## Smart \$aver Prescriptive Lighting Rebates

**Rebates for the following Prescriptive measures are included in this application:**

1. Interior Lamps and Fixtures
  - a. LED Panel Fixtures
  - b. LED Tubes
  - c. LED Linear Ambient Fixtures
  - d. LED High Bay and Low Bay Fixtures
  - e. LED High Bay and Low Bay Lamps
  - f. LED Fixture for Refrigerated Cases
  - g. LED Lamps Replacing Incandescent or CFL
  - h. Tubular Skylight - Light Tube
  - i. LED Interior Channel Sign
  - j. Other LED Fixtures
2. Exterior Lamps and Fixtures
  - a. Exterior LED Fixtures
  - b. Exterior LED Lamps
  - c. Exterior LED Flood Lamps
  - d. LED Exterior Channel Sign
  - e. Exterior LED Tubes
3. Horticulture LED Lighting
4. Controls and Sensors
5. Delamping
6. Fluorescent High Bay Fixtures

Note: If your project type is not listed under the measure for which you are applying, it is not eligible for Prescriptive rebates but could qualify for the Smart \$aver Custom Incentive Program.

<b>Project Type</b>	<b>Description</b>
Replace Failed	Replacing comparable equipment that has failed or is at end of life
Replace Early	Replacing comparable equipment that is still in working condition which will be disposed/recycled
New Existing	In lieu of new standard equipment that is being added to an existing facility
New Construction	In lieu of new standard equipment that is being added to a new facility/addition
Retrofit	Addition of a new efficiency component to existing equipment

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## 1. Prescriptive Upgrades for Interior Lamps And Fixtures

### 1.a. Interior LED Panels

Select One	Measure Description	Fixture Replacement	Rebate per unit	Enter Quantity	Total Rebate Before Cap
<input type="checkbox"/>	LED Panels 1x4	<input type="checkbox"/> NC <input type="checkbox"/> T5 <input type="checkbox"/> T8 <input type="checkbox"/> T12	\$27.50/fixture		
<input type="checkbox"/>	LED Panels 2x4	<input type="checkbox"/> NC <input type="checkbox"/> T5 <input type="checkbox"/> T8 <input type="checkbox"/> T12	\$44/fixture		
<input type="checkbox"/>	LED Panels 2x2	<input type="checkbox"/> NC <input type="checkbox"/> T5 <input type="checkbox"/> T8 <input type="checkbox"/> T12	\$22/fixture		

Enter Equipment Make/Model and additional required information:

Lamp Make & Model Number	Make/Model Number (matches spec sheet)	Project Type	Annual Hours of Operation	Equipment Cost per Unit (matches invoice) excluding labor	Date Installed and Operable (mm/yy)
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early <input type="checkbox"/> New Existing <input type="checkbox"/> New Construction			
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early <input type="checkbox"/> New Existing <input type="checkbox"/> New Construction			

- LED luminaire must be listed on DLC, category Indoor and general application luminaires. DLC LED retrofit kits qualify. The LED luminaire must be listed in the appropriate primary use category 1x4, 2x4, or 2x2.
- LED Panels 2x2 must be 40 watts or less.

### 1.b. Interior LED Tubes

Select One	Measure Description	Lamp Replacement	Rebate per unit	Enter Quantity	Total Rebate Before Cap
<input type="checkbox"/>	LED 2ft. 1-LED (T8 equivalent)	<input type="checkbox"/> NC <input type="checkbox"/> T8 <input type="checkbox"/> T12	\$2.20/lamp		
<input type="checkbox"/>	LED 3ft. 1-LED (T8 equivalent)	<input type="checkbox"/> NC <input type="checkbox"/> T8 <input type="checkbox"/> T12	\$2.80/lamp		
<input type="checkbox"/>	LED 4ft. 1-LED (T8 equivalent)	<input type="checkbox"/> NC <input type="checkbox"/> T8 <input type="checkbox"/> T12	\$3.30/lamp		
<input type="checkbox"/>	LED 4ft. 1-LED (T5 equivalent)	<input type="checkbox"/> NC <input type="checkbox"/> T5SO <input type="checkbox"/> T5HO	\$6.60/lamp		
<input type="checkbox"/>	LED 8ft. 1-LED (T8 equivalent)	<input type="checkbox"/> NC <input type="checkbox"/> T8 <input type="checkbox"/> T12	\$3.90/lamp		

Enter Equipment Make/Model and additional required information:

Lamp Make & Model Number	Make/Model Number (matches spec sheet)	Project Type	Annual Hours of Operation	Equipment Cost per Unit (matches invoice) excluding labor	Date Installed and Operable (mm/yy)
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early <input type="checkbox"/> New Existing <input type="checkbox"/> New Construction			
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early <input type="checkbox"/> New Existing <input type="checkbox"/> New Construction			

- T8 equivalent LED lamp must be listed on DLC, category Linear Replacement lamp, and general application name includes T8 Linear Replacement Lamps or U-bend Replacement Lamps. For interior installation only.
- T5 equivalent LED lamp must be listed on DLC, category Linear Replacement lamp and general application T5 four-foot Linear Replacement Lamps or T5HO four-foot Linear Replacement Lamps.

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**1.c. Interior LED Linear Ambient Fixtures**

Select One	Measure Description	Fixture Replacement	Rebate per unit	Enter Quantity	Total Rebate Before Cap
<input type="checkbox"/>	LED linear ambient fixture	<input type="checkbox"/> NC <input type="checkbox"/> T5 <input type="checkbox"/> T8 <input type="checkbox"/> T12	\$20/fixture		

Enter Equipment Make/Model and additional required information:

Lamp Make & Model Number	Make/Model Number (matches spec sheet)	Project Type	Annual Hours of Operation	Equipment Cost per Unit (matches invoice) excluding labor	Date Installed and Operable (mm/yy)
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early <input type="checkbox"/> New Existing <input type="checkbox"/> New Construction			
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early <input type="checkbox"/> New Existing <input type="checkbox"/> New Construction			

- LED Linear Ambient Fixture replacing or in lieu of T12, T8 or T5
- LED luminaire should be listed on DLC, category Indoor and general application Linear Ambient or Retrofit Kits for Direct Linear Ambient Luminaires. Luminaires listed within other DLC categories may be accepted for incentives if the installation and use is consistent with the measure description.

**1.d. Interior LED High Bay and Low Bay Fixtures**

Select One	Measure Description	Type	Rebate per unit	Enter Quantity	Total Rebate Before Cap
<input type="checkbox"/>	LED replacing up to 175 lamp wattage HID fixture	<input type="checkbox"/> High Bay <input type="checkbox"/> Low Bay	\$66/fixture		
<input type="checkbox"/>	LED replacing 176-250 lamp wattage HID fixture	<input type="checkbox"/> High Bay <input type="checkbox"/> Low Bay	\$110/fixture		
<input type="checkbox"/>	LED replacing 251-400 lamp wattage HID fixture	<input type="checkbox"/> High Bay <input type="checkbox"/> Low Bay	\$165/fixture		
<input type="checkbox"/>	LED replacing greater than 400 lamp wattage HID fixture	<input type="checkbox"/> High Bay <input type="checkbox"/> Low Bay	\$330/fixture		
<input type="checkbox"/>	LED fixture replacing 2-lamp 8ft T12 fixture	<input type="checkbox"/> High Bay <input type="checkbox"/> Low Bay	\$33/fixture		
<input type="checkbox"/>	LED fixture replacing 2, 4 or 6 -lamp 4ft T5HO fixture	<input type="checkbox"/> High Bay <input type="checkbox"/> Low Bay	\$82.50/fixture		
<input type="checkbox"/>	LED fixture replacing 2, 4 or 6-lamp 4ft T8 fixture	<input type="checkbox"/> High Bay <input type="checkbox"/> Low Bay	\$27.50/fixture		
<input type="checkbox"/>	2 fixtures – T5 output high bay 6 lamp (or single fixture 12 lamps) replacing 1,000 lamp wattage HID (2 for 1 replacement retrofit only) NEW	<input type="checkbox"/> High Bay <input type="checkbox"/> Low Bay	\$154/fixture		
<input type="checkbox"/>	T5 High output high bay 8L replacing 750-999 lamp wattage HID	<input type="checkbox"/> High Bay <input type="checkbox"/> Low Bay	\$88/fixture		
<input type="checkbox"/>	T5 High output high bay 6L replacing 400-999 lamp wattage HID	<input type="checkbox"/> High Bay <input type="checkbox"/> Low Bay	\$77/fixture		
<input type="checkbox"/>	T5 High output high bay 4L replacing 400-999 lamp wattage HID	<input type="checkbox"/> High Bay <input type="checkbox"/> Low Bay	\$60/fixture		
<input type="checkbox"/>	T5 High output high bay 3L replacing 250-399 lamp wattage HID	<input type="checkbox"/> High Bay <input type="checkbox"/> Low Bay	\$55/fixture		

Enter Equipment Make/Model and additional required information:

Lamp Make & Model Number	Make/Model Number (matches spec sheet)	Project Type	Annual Hours of Operation	Equipment Cost per Unit (matches invoice) excluding labor	Date Installed and Operable (mm/yy)
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early			
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early			

- LED luminaire must be listed on DLC, category Indoor and general application High-bay. DLC LED retrofit kits for High-bay qualify.
- The LED High Bay fixture replacing 2-lamp 8-foot T12 fixture measure is for the replacement of one 8-foot 2-lamp T12 High Output fixture with one LED high bay fixture. Max wattage of qualifying LED fixture is 104W.
- The LED High Bay fixture replacing 2, 4 or 6 lamp 4-foot T5HO fixture measure is for the replacement of one 2, 4 or 6-lamp 4-foot T5HO fixture with one LED high bay fixture. Max wattage of qualifying LED fixture is 181W.
- The LED High Bay fixture replacing 2, 4, or 6-lamp 4-foot T8 fixture measure is for the replacement of one 2, 4 or 6-lamp 4-foot T8 fixture with one LED high bay fixture. Max wattage of qualifying LED fixture is 165W.
- Seek Custom incentive pre-approval for other high bay fixture replacements.

**Unless otherwise noted, Prescriptive rebates and incentives are capped at 75% of the customer's equipment cost.**

*1.e. Interior LED High Bay and Low Bay Lamps*

Select One	Measure Description	Type	Rebate per unit	Enter Quantity	Total Rebate Before Cap
<input type="checkbox"/>	LED replacing up to 175 lamp wattage HID lamp	<input type="checkbox"/> High Bay <input type="checkbox"/> Low Bay	\$44/lamp		
<input type="checkbox"/>	LED replacing 176-250 lamp wattage HID lamp	<input type="checkbox"/> High Bay <input type="checkbox"/> Low Bay	\$82.50/lamp		
<input type="checkbox"/>	LED replacing 251-400 lamp wattage HID lamp	<input type="checkbox"/> High Bay <input type="checkbox"/> Low Bay	\$132/lamp		
<input type="checkbox"/>	LED replacing greater than 400 lamp wattage HID lamp	<input type="checkbox"/> High Bay <input type="checkbox"/> Low Bay	\$220/lamp		
<input type="checkbox"/>	LED lamp for Indoor Sport Lighting	<input type="checkbox"/> Indoor Sport Lighting	\$220/lamp		

Enter Equipment Make/Model and additional required information:

Lamp Make & Model Number	Make/Model Number (matches spec sheet)	Project Type	Annual Hours of Operation	Equipment Cost per Unit (matches invoice) excluding labor	Date Installed and Operable (mm/yy)
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early			
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early			

- The LED High Bay and Low Bay lamps are for the replacement of interior high bay and low bay HID lamps with LED lamps. LED lamp must be listed on DLC, category Mogul Screw-Base (E39) Replacements for HID Lamps and general application High-bay. Lamps listed within other DLC categories may be accepted for incentives if the installation and use is consistent with the measure description.
- LED lamp replacing HID Indoor Sporting Lighting lamp must be listed on DLC, category Mogul Screw-Base (E39) Replacements for HID Lamps and general application High-bay. Lamps listed within other DLC categories may be accepted for incentives if the installation and use is consistent with the measure description.

*1.f. Interior LED Fixtures for Refrigerated Cases*

Select One	Measure Description	Lamp Replacement	Rebate per unit	Enter Quantity	Total Rebate Before Cap
<input type="checkbox"/>	LED 4ft case lights	<input type="checkbox"/> NC <input type="checkbox"/> T8 <input type="checkbox"/> T12	\$20/fixture		
<input type="checkbox"/>	LED 4ft case lights with controls	<input type="checkbox"/> NC <input type="checkbox"/> T8 <input type="checkbox"/> T12	\$60.50/fixture		
<input type="checkbox"/>	LED 5ft case lights	<input type="checkbox"/> NC <input type="checkbox"/> T8 <input type="checkbox"/> T12	\$21/fixture		
<input type="checkbox"/>	LED 5ft case lights with controls	<input type="checkbox"/> NC <input type="checkbox"/> T8 <input type="checkbox"/> T12	\$62/fixture		
<input type="checkbox"/>	LED 6ft case lights	<input type="checkbox"/> NC <input type="checkbox"/> T8 <input type="checkbox"/> T12	\$22/fixture		
<input type="checkbox"/>	LED 6ft case lights with controls	<input type="checkbox"/> NC <input type="checkbox"/> T8 <input type="checkbox"/> T12	\$63/fixture		

Enter Equipment Make/Model and additional required information:

Lamp Make & Model Number	Make/Model Number (matches spec sheet)	Project Type	Annual Hours of Operation	Equipment Cost per Unit (matches invoice) excluding labor	Date Installed and Operable (mm/yy)
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early <input type="checkbox"/> New Existing <input type="checkbox"/> New Construction			
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early <input type="checkbox"/> New Existing <input type="checkbox"/> New Construction			

- Measure is for the replacement of one 4-foot,5-foot or 6-foot T12 or T8 refrigerated case light with one 4-foot, 5-foot, or 6-foot LED refrigerated case light, with or without integrated motion detecting control that reduces the light level to 0% during standby mode. Display cases may be refrigerator or freezer.
- LED case lighting system must be a permanently installed luminaire. LED lamps that install into fluorescent lamp sockets are not eligible for rebates. Existing fluorescent fixture end connectors and ballasts must be removed.
- LED luminaire must be listed on DLC, category Refrigerated Case Luminaries.

*Unless otherwise noted, Prescriptive rebates and incentives are capped at 75% of the customer's equipment cost.*

**1.g. Interior LED Lamps Replacing Incandescent or CFL**

Select One	Measure Description	Lamp Replacement	Rebate per unit	Enter Quantity	Total Rebate Before Cap
<input type="checkbox"/>	LED Decorative, Globe or 3-way lamp	<input type="checkbox"/> Incandescent <input type="checkbox"/> CFL	\$4.40/lamp		
<input type="checkbox"/>	LED PAR, BR or MR lamp	<input type="checkbox"/> Incandescent <input type="checkbox"/> CFL	\$8.80/lamp		

Enter Equipment Make/Model and additional required information:

Lamp Make & Model Number	Make/Model Number (matches spec sheet)	Project Type	Annual Hours of Operation	Equipment Cost per Unit (matches invoice) excluding labor	Date Installed and Operable (mm/yy)
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early			
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early			

- LED lamps must appear on ENERGY STAR or DLC qualified products list to qualify.
- Pin base products that qualify on the ENERGY STAR or DLC category Four Pin-Base Replacement Lamps for CFLs products list will qualify.

**1.h. Interior Tubular Skylight – Light Tube**

Select One	Measure Description	Rebate per unit	Enter Quantity	Total Rebate Before Cap
<input type="checkbox"/>	12-24" Tubular Skylight/Light Tube (at least one light fixture per light tube must be controlled by a “daylight” sensor (no additional daylight sensor rebate applies)	\$82.50/fixture		

Lamp Make & Model Number	Make/Model Number (matches spec sheet)	Project Type	Annual Hours of Operation	Equipment Cost per Unit (matches invoice) excluding labor	Date Installed and Operable (mm/yy)
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early <input type="checkbox"/> New Existing <input type="checkbox"/> New Construction			
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early <input type="checkbox"/> New Existing <input type="checkbox"/> New Construction			

- Tubular Skylight requires at least one light fixture per light tube that must be controlled by a “daylight” sensor (no additional daylight sensor rebate applies).

*Unless otherwise noted, Prescriptive rebates and incentives are capped at 75% of the customer’s equipment cost.*

**1.i. Interior LED Channel Signs**

Select One	Measure Description	Lamp Replacement	Rebate per unit	Enter Quantity	Total Rebate Before Cap
<input type="checkbox"/>	LED indoor channel sign letter less than or equal to 2 feet high	<input type="checkbox"/> Incandescent <input type="checkbox"/> argon-mercury <input type="checkbox"/> neon	\$13/letter		
<input type="checkbox"/>	LED indoor channel sign letter greater than 2 feet high	<input type="checkbox"/> Incandescent <input type="checkbox"/> argon-mercury <input type="checkbox"/> neon	\$33/letter		

Enter Equipment Make/Model and additional required information:

Lamp Make & Model Number	Make/Model Number (matches spec sheet)	Project Type	Annual Hours of Operation	Equipment Cost per Unit (matches invoice) excluding labor	Date Installed and Operable (mm/yy)
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early			
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early			

- LED Indoor Channel Sign letter less than or greater than 2 feet high replacing incandescent, argon-mercury or neon channel letter signs.
- Specification sheets indicating channel letter dimensions are required with the application.

**1.j. Other Interior LED Fixtures**

Select One	Measure Description	Fixture Replacement	Rebate per unit	Enter Quantity	Total Rebate Before Cap
<input type="checkbox"/>	LED downlight 18 W or less	<input type="checkbox"/> incandescent <input type="checkbox"/> CFL	\$4.40/fixture		
<input type="checkbox"/>	LED downlight greater than 18 W	<input type="checkbox"/> incandescent <input type="checkbox"/> CFL	\$18/fixture		
<input type="checkbox"/>	LED Display Case	<input type="checkbox"/> incandescent <input type="checkbox"/> fluorescent	\$8.80/fixture		
<input type="checkbox"/>	LED Exit Signs	<input type="checkbox"/> incandescent <input type="checkbox"/> fluorescent	\$6.60/fixture		

Enter Equipment Make/Model and additional required information:

Lamp Make & Model Number	Make/Model Number (matches spec sheet)	Project Type	Annual Hours of Operation	Equipment Cost per Unit (matches invoice) excluding labor	Date Installed and Operable (mm/yy)
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early			
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early			

- LED Downlights must appear on ENERGY STAR or DLC qualified products list, and must contain the word “downlight”. Pin base LED products being installed that qualify on the Energy Star or Design Lights Consortium products list will qualify.
- The LED Display Case lamp is for merchandise display case (non-refrigerated).
- LED exit signs shall use 5 watts or less including battery charger when active. Must meet State Fire Marshal codes and be UL rated.

Select One	Measure Description	Fixture Replacement	Rebate per unit	Enter Quantity	Total Rebate Before Cap
<input type="checkbox"/>	LED Track Lighting	<input type="checkbox"/> incandescent <input type="checkbox"/> halogen <input type="checkbox"/> CFL <input type="checkbox"/> HID	\$42/fixture		

Enter Equipment Make/Model and additional required information:

Lamp Make & Model Number	Make/Model Number (matches spec sheet)	Project Type	Annual Hours of Operation	Equipment Cost per Unit (matches invoice) excluding labor	Date Installed and Operable (mm/yy)
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early <input type="checkbox"/> New Existing <input type="checkbox"/> New Construction			
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early <input type="checkbox"/> New Existing <input type="checkbox"/> New Construction			

- LED track lighting luminaire must be listed on DLC, category Track, or Mono-Point Directional Luminaires.

*Unless otherwise noted, Prescriptive rebates and incentives are capped at 75% of the customer’s equipment cost.*



## 2. Prescriptive Upgrades for Exterior Lamps And Fixtures

### 2.a. Exterior LED Fixtures

Select One	Measure Description	Fixture Replacement or New Construction	Rebate per unit	Enter Quantity	Total Rebate Before Cap
<input type="checkbox"/>	Exterior LED fixture	<input type="checkbox"/> up to 175 lamp wattage HID fixture	\$33/fixture		
<input type="checkbox"/>	Exterior LED fixture	<input type="checkbox"/> 176-250 lamp wattage HID fixture	\$55/fixture		
<input type="checkbox"/>	Exterior LED fixture	<input type="checkbox"/> 251-400 lamp wattage HID fixture	\$82.50/fixture		
<input type="checkbox"/>	Exterior LED fixture	<input type="checkbox"/> > 400 lamp wattage HID fixture	\$220/fixture		
<input type="checkbox"/>	Canopy LED fixture	<input type="checkbox"/> up to 175 lamp wattage HID fixture	\$33/fixture		
<input type="checkbox"/>	Canopy LED fixture	<input type="checkbox"/> 176-250 lamp wattage HID fixture	\$66/fixture		
<input type="checkbox"/>	Canopy LED fixture	<input type="checkbox"/> 251-400 lamp wattage HID fixture	\$110/fixture		
<input type="checkbox"/>	Garage LED fixture	<input type="checkbox"/> up to 175 lamp wattage HID fixture	\$33/fixture		
<input type="checkbox"/>	Garage LED fixture	<input type="checkbox"/> 176-250 lamp wattage HID fixture	\$55/fixture		
<input type="checkbox"/>	Garage LED fixture	<input type="checkbox"/> 251-400 lamp wattage HID fixture	\$82.50/fixture		
<input type="checkbox"/>	Garage LED fixture	<input type="checkbox"/> > 400 lamp wattage HID fixture	\$220/fixture		
<input type="checkbox"/>	LED Bollard fixture	<input type="checkbox"/> New Construction <input type="checkbox"/> Metal Halide <input type="checkbox"/> High Pressure Sodium <input type="checkbox"/> Pin CFL	\$22/fixture		

Enter Equipment Make/Model and additional required information:

Lamp Make & Model Number	Make/Model Number (matches spec sheet)	Project Type	Annual Hours of Operation	Equipment Cost per Unit (matches invoice) excluding labor	Date Installed and Operable (mm/yy)
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early <input type="checkbox"/> New Existing <input type="checkbox"/> New Construction			
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early <input type="checkbox"/> New Existing <input type="checkbox"/> New Construction			

- Exterior, Canopy, and Garage LED fixture replacing HID fixtures: LED luminaire must be listed on the DLC and the Primary Use must match the selected Measure Description on the application. Exterior LED flood or spot luminaires/fixtures for landscape, sport lighting or architectural illumination applications also qualify in the applicable Exterior LED fixture category. Luminaires listed within other DLC categories may be accepted for incentives if the installation and use is consistent with the measure description with an outdoor category.
- LED Bollard measure is for the replacement of one exterior Metal Halide, High Pressure Sodium, or Pin CFL bollard fixture with one Integrated LED bollard fixture. Qualifying fixture must be listed on DLC, outdoor luminaire primary use type Bollard. This measure is for fixture replacement. Medium screw base socket bollard lamp replacement will fall under LED lamp exterior lighting measure.

*Unless otherwise noted, Prescriptive rebates and incentives are capped at 75% of the customer's equipment cost.*

**2.b. Exterior LED Lamps**

Select One	Measure Description	Lamp Replacement	Rebate per unit	Enter Quantity	Total Rebate Before Cap
<input type="checkbox"/>	Exterior LED lamp	<input type="checkbox"/> up to 175 watt HID lamp	\$27.50/lamp		
<input type="checkbox"/>	Exterior LED lamp	<input type="checkbox"/> 176-250 watt HID lamp	\$38.50/lamp		
<input type="checkbox"/>	Exterior LED lamp	<input type="checkbox"/> 251-400 watt HID lamp	\$66/lamp		
<input type="checkbox"/>	Exterior LED lamp	<input type="checkbox"/> > 400 watt HID lamp	\$159.50/lamp		
<input type="checkbox"/>	Canopy LED lamp	<input type="checkbox"/> up to 175 watt HID lamp	\$27.50/lamp		
<input type="checkbox"/>	Canopy LED lamp	<input type="checkbox"/> 176-250 watt HID lamp	\$38.50/lamp		
<input type="checkbox"/>	Canopy LED lamp	<input type="checkbox"/> 251-400 watt HID lamp	\$66/lamp		
<input type="checkbox"/>	Garage LED lamp	<input type="checkbox"/> up to 175 watt HID lamp	\$27.50/lamp		
<input type="checkbox"/>	Garage LED lamp	<input type="checkbox"/> 176-250 watt HID lamp	\$44/lamp		
<input type="checkbox"/>	Garage LED lamp	<input type="checkbox"/> 251-400 watt HID lamp	\$71.50/lamp		
<input type="checkbox"/>	Garage LED lamp	<input type="checkbox"/> > 400 watt HID lamp	\$165/lamp		

Enter Equipment Make/Model and additional required information:

Lamp Make & Model Number	Make/Model Number (matches spec sheet)	Project Type	Annual Hours of Operation	Equipment Cost per Unit (matches invoice) excluding labor	Date Installed and Operable (mm/yy)
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early			
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early			

- Exterior, Canopy and Garage LED lamps: LED lamp must be listed on DLC, category Mogul Screw-Base (E39) Replacements for HID Lamps and general application Outdoor. Lamps listed within other DLC categories may be accepted for incentives if the installation and use is consistent with the measure description with an outdoor category.

**2.c. Exterior LED Flood Lamps**

Select One	Measure Description	Lamp Replacement	Rebate per unit	Enter Quantity	Total Rebate Before Cap
<input type="checkbox"/>	LED Flood Lamp	Up to 100W <input type="checkbox"/> halogen <input type="checkbox"/> incandescent <input type="checkbox"/> new construction	\$5.50/lamp		
<input type="checkbox"/>	LED Flood Lamp	100-500W <input type="checkbox"/> halogen <input type="checkbox"/> incandescent	\$16.50/lamp		

Enter Equipment Make/Model and additional required information:

Lamp Make & Model Number	Make/Model Number (matches spec sheet)	Project Type	Annual Hours of Operation	Equipment Cost per Unit (matches invoice) excluding labor	Date Installed and Operable (mm/yy)
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early <input type="checkbox"/> New Existing <input type="checkbox"/> New Construction			
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early <input type="checkbox"/> New Existing <input type="checkbox"/> New Construction			

- This measure relates to the installation of exterior LED flood lamps for landscape or architectural illumination applications in place of halogen or incandescent lamps. LED lamps must be listed on the ENERGY STAR qualified products list or DLC, category Outdoor.

*Unless otherwise noted, Prescriptive rebates and incentives are capped at 75% of the customer's equipment cost.*

**2.d. Exterior LED Channel Signs**

Select One	Measure Description	Lamp Replacement	Rebate per unit	Enter Quantity	Total Rebate Before Cap
<input type="checkbox"/>	LED exterior channel sign letter less than or equal to 2 feet high	<input type="checkbox"/> Incandescent <input type="checkbox"/> neon <input type="checkbox"/> argon-mercury	\$13/letter		
<input type="checkbox"/>	LED exterior channel sign letter greater than 2 feet high	<input type="checkbox"/> Incandescent <input type="checkbox"/> neon <input type="checkbox"/> argon-mercury	\$33/letter		

Enter Equipment Make/Model and additional required information:

Lamp Make & Model Number	Make/Model Number (matches spec sheet)	Project Type	Annual Hours of Operation	Equipment Cost per Unit (matches invoice) excluding labor	Date Installed and Operable (mm/yy)
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early			
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early			

- LED Outdoor Channel Sign letter less than or greater than 2 feet high replacing incandescent, argon-mercury or neon channel letter signs.
- Specification sheets indicating channel letter dimensions are required with the application.

**2.e. Exterior LED Tubes**

Select One	Measure Description	Lamp Replacement	Rebate per unit	Enter Quantity	Total Rebate Before Cap
<input type="checkbox"/>	LED 2ft. 1-LED (T8 equivalent)	<input type="checkbox"/> NC <input type="checkbox"/> T8 <input type="checkbox"/> T12	\$2.20/lamp		
<input type="checkbox"/>	LED 3ft. 1-LED (T8 equivalent)	<input type="checkbox"/> NC <input type="checkbox"/> T8 <input type="checkbox"/> T12	\$2.80/lamp		
<input type="checkbox"/>	LED 4ft. 1-LED (T8 equivalent)	<input type="checkbox"/> NC <input type="checkbox"/> T8 <input type="checkbox"/> T12	\$3.30/lamp		
<input type="checkbox"/>	LED 8ft. 1-LED (T8 equivalent)	<input type="checkbox"/> NC <input type="checkbox"/> T8 <input type="checkbox"/> T12	\$3.90/lamp		

Enter Equipment Make/Model and additional required information:

Lamp Make & Model Number	Make/Model Number (matches spec sheet)	Project Type	Annual Hours of Operation	Equipment Cost per Unit (matches invoice) excluding labor	Date Installed and Operable (mm/yy)
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early <input type="checkbox"/> New Existing <input type="checkbox"/> New Construction			
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early <input type="checkbox"/> New Existing <input type="checkbox"/> New Construction			

- T8 equivalent LED lamp must be listed on DLC, category Linear Replacement lamp, and general application name includes T8 Linear Replacement Lamps or U-bend Replacement Lamps. For Exterior installations only

*Unless otherwise noted, Prescriptive rebates and incentives are capped at 75% of the customer's equipment cost.*

### 3. Prescriptive Measures for Horticulture LED Lighting

Select One	Measure Description	Rebate per unit	Enter Quantity	Total Rebate Before Cap
<input type="checkbox"/>	Non-Stacked Indoor LED, 400 watts or less	\$175/fixture		
<input type="checkbox"/>	Non-Stacked Indoor LED, 700 watts to 401 watts	\$250/fixture		
<input type="checkbox"/>	Supplemented Greenhouse LED, 250 watts or less	\$75/fixture		
<input type="checkbox"/>	Supplemented Greenhouse LED, 400 watts to 251 watts	\$80/fixture		
<input type="checkbox"/>	Supplemented Greenhouse LED, 700 watts to 401 watts	\$125/fixture		

Enter Equipment Make/Model and additional required information:

Lamp Make & Model Number	Make/Model Number (matches spec sheet)	Project Type	Replaced Fixture Type (if applicable)	Annual Hours of Operation	Equipment Cost per Unit (matches invoice) excluding labor	Date Installed and Operable (mm/yy)
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early <input type="checkbox"/> New Existing <input type="checkbox"/> New Construction				
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early <input type="checkbox"/> New Existing <input type="checkbox"/> New Construction				

- LED luminaire must be listed on DLC's Horticultural Qualified Products List

*Unless otherwise noted, Prescriptive rebates and incentives are capped at 75% of the customer's equipment cost.*

## 4. Prescriptive Measures for Controls And Sensors

Select One	Measure Description	Space Type	Rebate per watt controlled	Enter Quantity Watts Controlled	Total Rebate Before Cap
<input type="checkbox"/>	Daylighting Control with Occupancy Sensors	Interior	\$.15/watt controlled		
<input type="checkbox"/>	Occupancy Sensors	Interior	\$.10/watt controlled		
<input type="checkbox"/>	Remote Mounted Daylight Sensor	Interior	\$.10/watt controlled		
<input type="checkbox"/>	Switch or Fixture Mounted Daylight Sensor	Interior	\$.10/watt controlled		
<input type="checkbox"/>	Switching Controls for Multi-level lighting	Interior	\$.10/watt controlled		
<input type="checkbox"/>	Time Clocks Internal Lighting	Interior	\$.03/watt controlled		
<input type="checkbox"/>	Photocells	Exterior	\$.06/watt controlled		
<input type="checkbox"/>	Photocells with Time Clocks	Exterior	\$.10/watt controlled		
<input type="checkbox"/>	Time Clocks External Lighting	Exterior	\$.03/watt controlled		

Enter Equipment Make/Model and additional required information:

Lamp Make & Model Number	Control/Sensor Make/Model Number (matches spec sheet)	Project Type	Annual Hours of Operation	Equipment Cost per Unit (matches invoice) excluding labor	Date Installed and Operable (mm/yy)
		<input type="checkbox"/> New Existing <input type="checkbox"/> New Construction <input type="checkbox"/> Retrofit			
		<input type="checkbox"/> New Existing <input type="checkbox"/> New Construction <input type="checkbox"/> Retrofit			

- Daylighting with occupancy sensors can be used to turn lights off/on, A-B switching, or stepped or continuous dimming. The on/off controller should turn off artificial lighting when the interior illuminance meets the desired indoor lighting level. Daylight sensor controls are required to be commissioned in order to ensure proper sensor calibration and energy savings.
- Vacancy Sensors qualify for Occupancy Sensor rebates.
- Switching Controls, lighting system must allow for multiple power inputs or dimmability.
- Time clocks must be installed with a 3-hour battery pack so that schedule information will not be lost during any power outages.

Select One	Measure Description	Space Type	Rebate per watt controlled	Enter Quantity Watts Controlled	Total Rebate Before Cap
<input type="checkbox"/>	Bi-level Stairwell Fixture with Integrated Sensor	Interior	\$.33/watt controlled		

Enter Equipment Make/Model and additional required information:

Lamp Make & Model Number	Sensor Make/Model Number (matches spec sheet)	Project Type	Annual Hours of Operation	Equipment Cost per Unit (matches invoice) excluding labor	Date Installed and Operable (mm/yy)
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early <input type="checkbox"/> New Existing <input type="checkbox"/> New Construction			
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early <input type="checkbox"/> New Existing <input type="checkbox"/> New Construction			

- Bi-level Stairwell Fixture with Integrated Sensor measure is for the installation of new LED Stairwell Fixture with Integrated bi-level control sensor that reduces the light level to 50% or less during standby mode. The rebate offered is for the combined fixture/sensor. LED luminaire must be listed on DLC, category Indoor. DLC LED Indoor retrofit kits qualify.

*Unless otherwise noted, Prescriptive rebates and incentives are capped at 75% of the customer's equipment cost.*

# Smart \$aver Business Lighting Application

## North Carolina / South Carolina



Select One	Measure Description	Space Type	Rebate per restroom	Enter Quantity	Total Rebate Before Cap
<input type="checkbox"/>	Control Sensor for lighting and exhaust fan in restroom	Interior	\$47/restroom		

Enter Equipment Make/Model and additional required information:

Lamp Make & Model Number	Control Make/Model Number (matches spec sheet)	Project Type	Annual Hours of Operation	Equipment Cost per Unit (matches invoice) excluding labor	Date Installed and Operable (mm/yy)
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early <input type="checkbox"/> New Existing <input type="checkbox"/> New Construction			
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early <input type="checkbox"/> New Existing <input type="checkbox"/> New Construction			

- Measure is for an occupancy sensor that controls both the lighting and exhaust fan in a restroom intended to be occupied by one person at a time. Rebate is per restroom. Minimum exhaust fan CFM = 50 CFM and minimum fan efficacy = 2.8 CFM/W.

Select One	Measure Description	Space Type	Rebate per watt controlled	Enter Quantity Watts Controlled	Total Rebate Before Cap
<input type="checkbox"/>	Exterior Bi-level Controls	Exterior	\$.10/watt controlled		

Enter Equipment Make/Model and additional required information:

Lamp Make & Model Number	Control Make/Model Number (matches spec sheet)	Project Type	Annual Hours of Operation	Equipment Cost per Unit (matches invoice) excluding labor	Date Installed and Operable (mm/yy)
		<input type="checkbox"/> Retrofit <input type="checkbox"/> Retrofit			

- Exterior Bi-level Controls measure is for the retrofit of exterior dusk to dawn HID fixtures with existing dusk to dawn photocell, adding a bi-level control and an occupancy sensor that reduces the light level to 50% or less during standby mode. The rebate offered is for the combined control/sensor.

Select One	Measure Description	Space Type	Rebate per watt controlled	Enter Quantity Watts Controlled	Total Rebate Before Cap
<input type="checkbox"/>	Networked Lighting Controls	Interior	\$.30/watt controlled		

Enter Equipment Make/Model and additional required information:

Lamp Make & Model Number	Control Make/Model Number (matches spec sheet)	Project Type	Annual Hours of Operation	Equipment Cost per Unit (matches invoice) excluding labor	Date Installed and Operable (mm/yy)
		<input type="checkbox"/> Retrofit <input type="checkbox"/> New Construction			
		<input type="checkbox"/> Retrofit <input type="checkbox"/> New Construction			

NLC Control Strategy (or Strategies) Implemented:					

- Project pre-approval required for all NLC system installations.
- The NLC system must be selected from the DLC Qualified Product List.
- NLC system must be a new system applied to interior lighting in an existing building with a lighting retrofit or a new construction application (i.e., time of sale).
- The Networked Lighting Control must include implementation of at least one control strategy which must be listed on the application.
- Receiving the NLC rebate excludes applicants from receiving rebates for all other Controls and Sensor measures on the same NLC system

Unless otherwise noted, Prescriptive rebates and incentives are capped at 75% of the customer's equipment cost.

## 5. Prescriptive Delamping Fixtures

### All Delamping Measures Require Pre-Qualification

Select One	Measure Description	Lamp Removed	Rebate per unit	Enter Quantity Removed	Total Rebate Before Cap
<input type="checkbox"/>	Fluorescent Delamping 2 ft.	<input type="checkbox"/> T8 <input type="checkbox"/> T12	\$6.60/lamp		
<input type="checkbox"/>	Fluorescent Delamping 2 ft. with reflector	<input type="checkbox"/> T8 <input type="checkbox"/> T12	\$7.20/lamp		
<input type="checkbox"/>	Fluorescent Delamping 3 ft.	<input type="checkbox"/> T8 <input type="checkbox"/> T12	\$6.60/lamp		
<input type="checkbox"/>	Fluorescent Delamping 3 ft. with reflector	<input type="checkbox"/> T8 <input type="checkbox"/> T12	\$7.70/lamp		
<input type="checkbox"/>	Fluorescent Delamping 4 ft.	<input type="checkbox"/> T8 <input type="checkbox"/> T12	\$9.90/lamp		
<input type="checkbox"/>	Fluorescent Delamping 4 ft. with reflector	<input type="checkbox"/> T8 <input type="checkbox"/> T12	\$11/lamp		
<input type="checkbox"/>	Fluorescent Delamping 8 ft.	<input type="checkbox"/> T8 <input type="checkbox"/> T12	\$8.80/lamp		
<input type="checkbox"/>	Fluorescent Delamping 8 ft. with reflector	<input type="checkbox"/> T8 <input type="checkbox"/> T12	\$13/lamp		
<input type="checkbox"/>	LED 2ft.	N/A	\$2.20/lamp		
<input type="checkbox"/>	LED 2ft. with reflector	N/A	\$2.20/lamp		
<input type="checkbox"/>	LED 4ft.	N/A	\$4.40/lamp		
<input type="checkbox"/>	LED 4ft. with reflector	N/A	\$4.40/lamp		

Enter Equipment Make/Model and additional required information:

Lamp Make & Model Number	Make/Model Number (matches spec sheet)	Project Type	Annual Hours of Operation	Equipment Cost per Unit (matches invoice) excluding labor	Date Installed and Operable (mm/yy)
		<input type="checkbox"/> Retrofit			
		<input type="checkbox"/> Retrofit			

- Delamping measures: Permanently remove a T8 fluorescent lamp, T12 fluorescent lamp, or linear LED lamp (tube) with or without the installation of a reflector. Ballast removal is not required, if the existing ballast is safely disconnected.
- Post lamp removal light levels must be at or above IESNA recommended levels. **Applicants for delamping measures must apply for pre-qualification and may have a site inspection by Duke Energy prior to the removal of any lamps involved in the application.** It is recommended that lamp removal be combined with a retrofit, however, lamps can simply be removed. Customers should be aware of post delamping lighting levels and utilize reflectors when necessary.
- Customers should be aware of post delamping lighting levels and utilize reflectors when necessary.
- Removal must also include a ballast replacement such that the new ballast is for the remaining lamps (for example, if removing 2 lamps from 4-lamp fixture, the new ballast must be a 2-lamp ballast).
- If the delamping is part of a fixture replacement in the same location, then the combined equipment cost (delamping cost + new application cost) will be reviewed to determine total rebate. Related fixture replacement and delamping rebates must be applied for at the same time.

Unless otherwise noted, Prescriptive rebates and incentives are capped at 75% of the customer's equipment cost.

## 6. Prescriptive Measures for Retrofitting HID Fixtures to High Bay Fluorescents

Select One	Measure Description	Fixture Replacement	Rebate per unit	Enter Quantity	Total Rebate Before Cap
<input type="checkbox"/>	T5 HO High Bay 2 L	<input type="checkbox"/> 150-249 lamp wattage HID fixture	\$44/fixture		
<input type="checkbox"/>	T5 HO High Bay 3 L	<input type="checkbox"/> 250-399 lamp wattage HID fixture	\$55/fixture		
<input type="checkbox"/>	T5 HO High Bay 4 L	<input type="checkbox"/> 400-999 lamp wattage HID fixture	\$60/fixture		
<input type="checkbox"/>	T5 HO High Bay 6 L	<input type="checkbox"/> 400-999 lamp wattage HID fixture	\$77/fixture		
<input type="checkbox"/>	T5 HO High Bay 8 L	<input type="checkbox"/> 750-999 lamp wattage HID fixture	\$88/fixture		
<input type="checkbox"/>	T5 HO High Bay; 2-6L fixtures or 1-12L fixture	<input type="checkbox"/> 1,000 lamp wattage HID fixture	\$154/fixture		

Enter Equipment Make/Model and additional required information:

Lamp Make & Model Number	Make/Model Number (matches spec sheet)	Project Type	Annual Hours of Operation	Equipment Cost per Unit (matches invoice) excluding labor	Date Installed and Operable (mm/yy)
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early			
		<input type="checkbox"/> Replace Failed <input type="checkbox"/> Replace Early			

- High bay fixtures consist of pendant, recessed, or surface-mounted fixtures specific for indoor high ceiling spaces (intended for ceilings ≥ 25')
- All fluorescent fixtures shall utilize electronic ballast and T5 lamps.
- Fixture replacement requires lamp and ballast replacement.
- Ballasts shall have a power factor greater than 90 percent.
- Ballasts, harmonic distortion shall not exceed 20 percent.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Eligible T5 High Bays must have specular/mirror-like or white reflectors.
- Manufacturers spec sheet is required

*Unless otherwise noted, Prescriptive rebates and incentives are capped at 75% of the customer's equipment cost.*



## General Terms & Conditions

Duke Energy makes available certain rebates and incentives through the NonResidential Smart \$aver<sup>®</sup> Program (“Program”). These General Terms and Conditions (the “Terms and Conditions”) shall apply to all applications for project pre-approval or pre-qualification, and all applications for rebate/incentive payment.

### Attestation

By signing below, I \_\_\_\_\_ [Customer name] agree to the following.

By signing below, I \_\_\_\_\_ [Payee name] agree to the following.

- I do hereby consent to Duke Energy disclosing my Duke Energy Account Number and/or Federal Tax ID Number to its subcontractors solely for the purpose of administering Duke Energy’s Smart \$aver Business program. I understand that such subcontractors are contractually bound to otherwise maintain my Duke Energy Account Number and/or Federal Tax ID Number in the strictest of confidence.
- I have read and agree to the below Terms and Conditions of the Duke Energy Smart \$aver Business program.
- I certify that I meet the eligibility requirements of the Duke Energy Smart \$aver Business program, as applicable, and that all information provided within my application is correct to the best of my knowledge.
- I certify that the taxpayer identification number provided in my application is current and correct. I am not subject to backup withholding because: (a) I am exempt from backup withholding; (b) I have not been notified by the IRS that I am subject to backup withholding as a result of a failure to report all interest or dividends; or (c) the IRS has notified me that I am no longer subject to backup withholding. I am a U.S. citizen (includes a U.S. resident alien).

### Terms & Conditions

#### Rebate/Incentive Eligibility

- Only nonresidential Customer premises served by an active Duke Energy electric account that are eligible under applicable state regulations, and are opted in to the applicable Energy Efficiency Rider are eligible. Customers may call 866.380.9580 to verify eligibility. Written Customer consent is required to release eligibility status to a third party.
- All equipment must be new to be eligible for rebates and incentives. Used or rebuilt equipment is not eligible for rebates or incentives. For retrofit projects, all existing equipment must be removed and decommissioned before the new equipment can be eligible for incentives.
- Energy efficiency equipment and systems acquired through both capital purchases and capital lease agreements are eligible for rebates and incentives. In the case of a lease agreement, to be eligible the lease must include a requirement that the Duke Energy account holder (lessee) purchase the leased equipment at the end of the lease agreement for a predetermined dollar amount or state that the title to relevant equipment automatically transfers to the lessee by the end of the lease term.
- Any equipment which, either separately or as part of a project, has received a rebate/incentive from the Program or any other Duke Energy program is ineligible.
- Equipment and/or services provided to the customer for free are not eligible for rebate/incentive payments. Projects financially supported by other funding sources (e.g., grants) will be evaluated on a case-by-case basis for potential partial rebates or incentives from Duke Energy. Tax credits and/or grants used to offset customer costs must be documented by the company(s) filling out this application (collectively, the “Applicant(s)”) and approved by Duke Energy. In no case will Duke Energy pay a rebate/incentive in excess of the actual cost of the new equipment.
- If an application is received with incomplete or inaccurate information, Duke Energy will notify the Applicant(s). In order to be eligible for rebates/incentives, corrected applications must be resubmitted to Duke Energy by the end of the calendar year in which the original Application was submitted or within 90 days, whichever is later.
- To be eligible for rebates/incentives, the company receiving the rebate/incentive (“Payee”) may be required to provide a Social Security number as the federal tax identification number for tax purposes and must sign and return the “Customer Consent to Release Personal Information” form (Consent Form), which will be provided by Duke Energy.

### Monitoring, Verification, and Right to Inspect

*Unless otherwise noted, Prescriptive rebates and incentives are capped at 75% of the customer’s equipment cost.*

- Duke Energy may require verification of both the sales transaction and equipment installation and operability prior to rebate/incentive payment.
- If monitoring of the equipment is required by Duke Energy, payments will be based on the verified savings as measured by Duke Energy.
- Duke Energy may conduct random site inspections of the equipment both prior to and after completion to verify scope and operability, and obtain information needed to determine the rebate/incentive.

### Rebate/Incentive Payment

- Applications for payment, along with the required documentation, must be submitted within 90 days of equipment installation and operability. Required documentation includes an updated and signed application, an itemized invoice, and other documentation listed in the application specific to the requested rebate/incentive(s).
- Rebate/Incentive will not be paid until the eligible equipment has been installed, is able to operate, and, if required by Duke Energy, Duke Energy has completed verification as set forth in “Monitoring, Verification and Right to Inspect” above.
- The Customer’s account must be active and eligible throughout the process of application review and rebate/incentive payment. Rebate/Incentive payments will not be issued on inactive accounts. A waiver signed by the original Payee is required if the Payee of record changes prior to rebate/incentive payment.
- With Duke Energy’s approval, Customer may assign the rebate/incentive to the Trade Ally by signing below. The Customer may only assign the rebate/incentive to a Trade Ally that is in good standing with the Program and that, in the sole discretion of Duke Energy, meets all Program requirements. The assigning Customer’s signature is required in the Payment Information section on page 2 below to assign the rebate/incentive to the Trade Ally. Customer agrees that such an action constitutes an irrevocable assignment of the rebate/incentive. If the rebate/incentive is assigned to a Trade Ally, the purchase price paid by the Customer for the equipment must be reduced by the amount of the assigned rebate/incentive.
- The Payee assumes all responsibilities for any and all tax liabilities resulting from Duke Energy rebate/incentive payment.
- By accepting the rebate/incentive payment, the Customer and/or Trade Ally agrees to transfer to Duke Energy the rights to all Attributes of the equipment or its operation. Attributes include, but are not limited to, any and all credits, benefits, emissions reductions, offsets and allowances resulting from the avoidance of the emission of any substance into the air, soil or water at or by Duke Energy generating facilities through reduced generation of energy or other savings or offsets resulting from the equipment. The Customer will not claim ownership of any Attributes. Additionally, the Customer and/or Trade Ally will transfer to Duke Energy the right to bid any energy efficiency, coincident demand and demand response resources associated with the projects into regional transmission organization (RTO) or independent system operator (ISO) markets.
- Duke Energy may withhold payment if the equipment is no longer in operation in the applicable jurisdiction.

### Disclaimers

- Rebate/Incentive levels and/or qualifying efficiency levels may be changed at any time. Duke Energy retains the right to adjust or terminate the approved rebate/incentive amount at any time due to regulatory requirements, measurement, verification and evaluation results, codes and standards, equipment pricing, or for any other reasonable reason.
- Duke Energy reserves the right to limit rebate/incentive funds to a first-come, first-served basis.
- Pre-approved Custom incentives are subject to additional terms as stated on the formal offer letter resulting from an approved application.
- Duke Energy:
  - a. does not endorse any particular manufacturer, product or system design within the Program.
  - b. makes no representation or warranty, and assumes no liability with respect to the quality, safety, performance, or other aspect of any design, consulting, product, system, or equipment installed or received and expressly disclaims any such representations, warranties, and liability, including, but not limited to, any implied warranties of merchantability of fitness for a particular purpose.
  - c. is not responsible for the proper disposal/recycling of any waste generated or obsolete or old equipment resulting from the Program.

***Unless otherwise noted, Prescriptive rebates and incentives are capped at 75% of the customer’s equipment cost.***

# Smart \$aver Business Lighting Application

## North Carolina | South Carolina



- d. is not liable for any damage caused by the installation of the equipment or for any damage caused by the malfunction of the installed equipment.
- e. does not guarantee that installation and operation of incentivized measures will result in reduced energy usage or in cost savings.
- f. utilizes subcontractors to administer the Program. The subcontractors are contractually bound to maintain Applicant(s) information, including Duke Energy Account Number and Federal Tax ID Number, in the strictest of confidence.

*I certify that the premise for which I am applying for rebates/incentives is served by Duke Energy (or an affiliate of Duke Energy), that the information provided herein is accurate and complete, and that I have purchased and installed the equipment (indicated herein) for the business facility listed herein and not for resale. I understand that the proposed rebate/incentive payment from Duke Energy is subject to change based on verification and Duke Energy approval. I agree to Duke Energy verification of both the sales transaction and equipment installation which may include a site inspection from a Duke Energy representative or Duke Energy agent. I understand that I am not allowed to receive more than one rebate/incentive from Duke Energy on any piece of equipment. I also understand that my participation in the Program may be taxable and that I or my company is solely responsible for paying all such taxes. I hereby agree to indemnify, hold harmless and release Duke Energy and its affiliates from any actions or claims in regard to the installation, operation and disposal of equipment (and related materials) covered herein including liability from incidental or consequential damages. Duke Energy does not endorse any particular manufacturer, product or system design within these programs; does not expressly or implicitly warrant the performance of installed equipment (contact your contractor for details regarding equipment warranties), does not warrant that the installed equipment meets applicable building codes or safety standards. and is not liable for any damage caused by the installation of the equipment or for any damage caused by the malfunction of the installed equipment.*

**CUSTOMER SIGNATURE REQUIRED**

By signing below, I certify that I have read and agree to the above Smart \$aver Attestation and Terms and Conditions.

Customer Signature			
Print Name		Date	

**TRADE ALLY SIGNATURE (REQUIRED ONLY IF TRADE ALLY IS PAYEE)**

By signing below, I certify that I have read and agree to the above Smart \$aver Attestation and Terms and Conditions, and that my involvement in the Program is in accordance with the Duke Energy Nonresidential Smart \$aver Program Trade Ally Code of Conduct.

Trade Ally Signature			
Print Name		Date	

**CUSTOMER – AUTHORIZATION TO DESIGNATE TRADE ALLY AS PAYEE**

If a rebate/incentive is awarded and the Customer would like to authorize payment to the Trade Ally, the Customer must sign below to allow release of their rebate/incentive to the Trade Ally.

Required: Final invoice from Trade Ally to Customer must show the rebate/incentive credited to the Customer. If the itemized invoice does not reflect a deduction of the rebate/incentive amount, the Payee will be changed to the Customer.

Customer Signature			
Print Name		Date	

**Unless otherwise noted, Prescriptive rebates and incentives are capped at 75% of the customer's equipment cost.**